



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Gary L. Gastineau, et al

Art Unit : Unknown

Serial No.: 09/536,663

Examiner: Unknown

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Filed Title

: March 27, 2000

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## INFORMATION DISCLOSURE STATEMENT

Copies of the references listed on the attached form PTO-1449 are enclosed.

This statement is being filed after a first Office action on the merits, but before receipt of a final Office action or a Notice of Allowance. A check for \$180 in payment of the late submission fee of §1.17(p) is enclosed. Please apply any other charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

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U.S. Department of Commerce Patent and Trademark Office Attorney's Docket No. 11657-002001

Application No. 09/536,663

Information Disclosure Statement **by Applicant** (Use several sheets if necessary)

Applicant Gary L. Gastineau, et al

Filing Date

Group Art Unit

(37 CFR §1.98(b))

March 27, 2000

			U.S. Patent	Documents	<del>-</del>		
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA						
	AB						
-	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

Foreign Patent Documents or Published Foreign Patent Applications						***		
Examiner	Desig.	Document	Publication	Country or			Translation	
Initial	ID	Number	Date	Patent Office	Class	Subclass	Yes	No
	AL							
	AM							
	AN							
	AO							
	AP							

	Other Documents (include Author, Title, Date, and Place of Publication)					
Examiner	Desig.					
Initial	ID	Document				
	AQ	"On Lines and Planes of Closest Fit to Systems of Points in Space", K. Pearson, <i>Philosophical Magazine and Journal of Science</i> , Vol. 2, Sixth Series, July-Dec. 1901, pp. 559-572.				
	AR	"Market Models: A Guide to Financial Data Analysis", C. Alexander, Wileys, 2001, pp. 143-178.				
	AS					
	AT	DECEIVED				

**Examiner Signature** 

Date Considered

EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.